12.00 'IAP15 Rec'd PCT/PTO 26 SEP 2006 FORM PTO-1390 US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER (REV. 01-2003) 127199 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CER 1.5) **DESIGNATED/ELECTED OFFICE (DO/EO/US)** 10/569,492 CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2004/012490 August 30, 2004 September 1, 2003 TITLE OF INVENTION ANTI-REFLECTION FILM FOR PLASMA DISPLAY APPLICANT(S) FOR DO/EO/US Nobuo NAITO; Fumihiro ARAKAWA; Tadahiro MASAKI Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. \boxtimes This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. 5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a.
is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) 6. b. has been previously submitted under 35 U.S.C. 154(d)(4). c.

The International Application was filed in English. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. a. \square are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8.

Items 11 to 20 below concern document(s) or information included:

An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36

13. A preliminary amendment.

(35 U.S.C. 371(c)(5)).

- 14. An Application Data Sheet under 37 CFR 1.76.
- 15. A substitute specification.
- 16. A power of attorney and/or change of address letter.
- 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825.
- 18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- Other items or information: A Request for Correction of PALM Records is attached hereto.

9.

10.

1

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/569,492	TON NO.	ATTORNEY'S DOCKET NUMBER 127199						
10/569,492 PCT/JP2004/012490 21. The following fees are submitted:			CALCULATIONS	PTO USE ONLY				
2 The following loop are submitted.				•				
BASIC NATIONAL FEE (37 CFR 1.492(a)):	\$							
SEARCH FEE (37 CFR 1.492(b)(1)-(3)):	\$							
International preliminary examination report of the USPTO as IPEA or ISA and favorable as industrial applicability for all claims presented national phase								
International search fee (37 CFR 1.445(a)(2)								
International search report provided to USPT the search fee is paid								
All situations not provided for above		\$ 500.00						
EXAMINATION FEE (37 CFR 1.492(c)(1)-(2			\$					
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national phase								
Surcharge of \$130.00 for furnishing the search declaration after the date of commencement	ch fee, the examination fee	or the oath or	\$					
APPLICATION SIZE FEE	or the national phase (37)	CFR 1.492(11)).						
Total pages - 100 = ÷5	0 = †	x 250 =	\$					
†round up to next integer			,	I				
CLAIMS NUMBER FILE	NUMBER EXTRA	RATE	\$					
TOTAL CLAIMS - 20	=	x 50.00 =	\$					
INDEPENDENT CLAIMS - 3	=	x 200.00 =	\$	· · · · · · · · · · · · · · · · · · ·				
MULTIPLE DEPENDENT CLAIM(S)(if applic	able) TOTAL OF ABOVE C	+ 360.00 =	\$					
Applicant claims small entity status. See	\$ \$							
reduced by ½.	9							
		SUBTOTAL =	\$					
Processing fee of \$130.00 for furnishing the the earliest claimed priority date (37 CFR 1.4	\$							
Fee for recording the enclosed assignment (\$ \$							
accompanied by an appropriate cover sheet	.00 per property +	<u> </u>						
	ES ENCLOSED =	\$	-					
			Amount to be refunded:	\$				
0.00			charged:	\$				
a. Check No. in the amount of	•	ve fees is enclosed.						
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.								
 c. \sum The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed. 								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit	under 37 CFR 1.495 has	not been met. a neti	tion to revive (37 CF	R 1.137(a) or (b))				
must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO:								
OLIFF & BERRIDGE, PLC Customer Number: 25944		NAME: Jame	os V Oliff					
Oustomer Humber. 20074		REGISTRATIO	DN NUMBER: 27,0	75				
Date September 26, 2006	M. Schulte ON NUMBER: 44,325							

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Attn: OIPE

Nobuo NAITO et al.

Group Art Unit: 1773

Application No.: 10/569,492

Docket No.: 127199

Filed: February 27, 2006

For:

ANTI-REFLECTION FILM FOR PLASMA DISPLAY

REQUEST FOR CORRECTION OF PALM RECORDS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached is a photocopy of the original filing receipt on which errors have been corrected in red. These errors are being brought to the attention of the Patent and Trademark Office so that it may correct its records.

Respectfully submitted,

James A. Óliff

Registration No. 27,075

Scott M. Schulte

Registration No. 44,325

JAO:SMS/kam

Date: September 26, 2003

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461

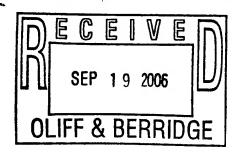


United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	<i>\$</i> -	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/569,492	02/27/2006	1773	1300	w. Tar	127199	1	12	5

25944 OLIFF & BERRIDGE, PLC P.O. BOX 19928 ALEXANDRIA, VA 22320



CONFIRMATION NO. 3472 FILING RECEIPT OC000000020440972*

Date Mailed: 09/15/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Nobuo Naito, Tokyo, JAPAN;

Fumihiro Arakawa, Tokyo-To, JAPAN; Tadahiro Masaki, Tokyo-To, JAPAN:

Power of Attorney: The patent practitioners associated with Customer Number 25944.

Assignment for Published Application: Dai Nippon Printing Co, Ltd., Tokyo-to, Japan Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/12490 08/30/2004

Foreign Applications

JAPAN 2003-308739 09/01/2003

If Required, Foreign Filing License Granted: 09/14/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/569,492**

Projected Publication Date: 12/21/2006

Non-Publication Request: No

Early Publication Request: No

Title

Correction on page 2



Preliminary Class

428

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR

1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIĞN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).